

Generative Adversarial Networks (GANs)

From **Ian Goodfellow et al.**

A short tutorial by :-

Binglin, Shashank & Bhargav

Outline

- **Part 1:** Introduction to GANs
- **Part 2:** Some challenges with GANs
- **Part 3:** Applications of GANs

Part 1

- **Motivation for Generative Models**
- **From Adversarial Training to GANs**
- **GAN's Architecture**
- **GAN's objective**
- **DCGANs**

GANs

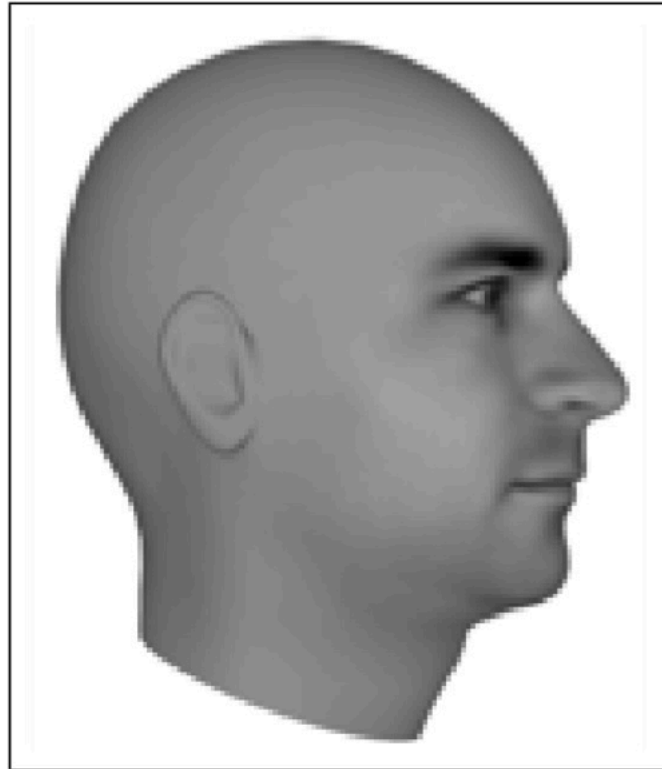
- **Generative**
 - Learn a generative model
- **Adversarial**
 - Trained in an adversarial setting
- **Networks**
 - Use Deep Neural Networks

Why Generative Models?

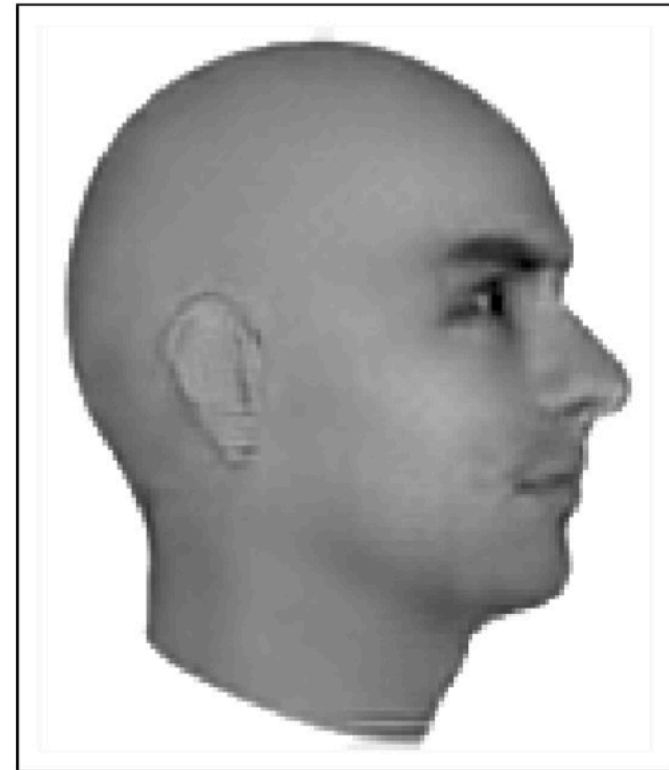
- **We've only seen discriminative models so far**
 - Given an image \mathbf{X} , predict a label \mathbf{Y}
 - Estimates $\mathbf{P}(\mathbf{Y}|\mathbf{X})$
- **Discriminative models have several key limitations**
 - Can't model $\mathbf{P}(\mathbf{X})$, i.e. the probability of seeing a certain image
 - Thus, can't sample from $\mathbf{P}(\mathbf{X})$, i.e. **can't generate new images**
- **Generative models (in general) cope with all of above**
 - Can model $\mathbf{P}(\mathbf{X})$
 - Can generate new images

Magic of GANs...

Ground Truth



Adversarial



Magic of GANs...

Which one is Computer generated?

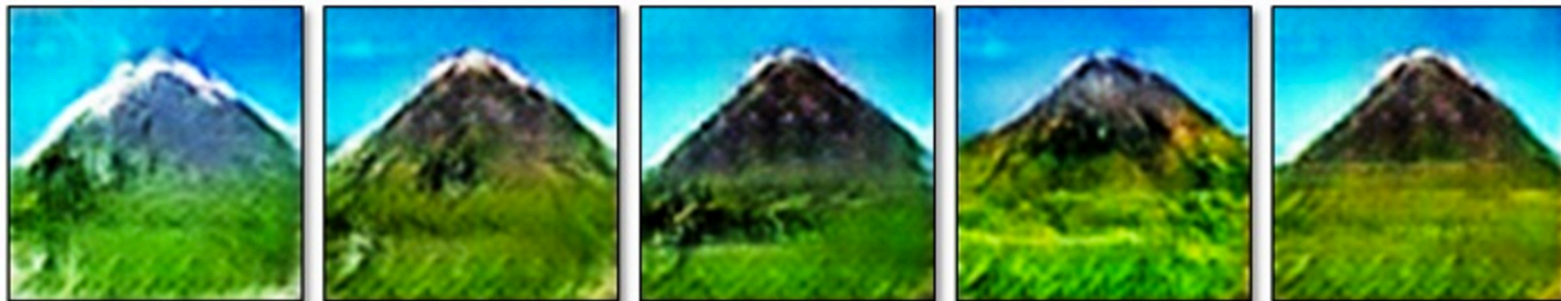
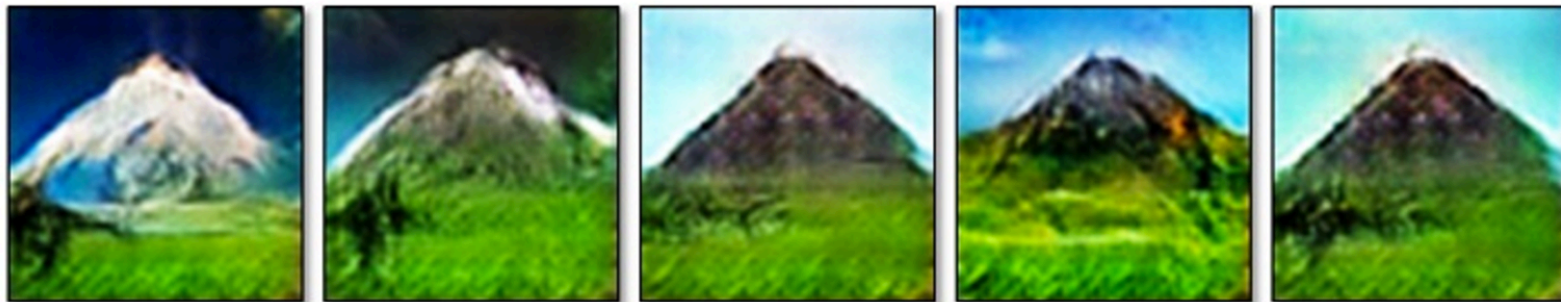
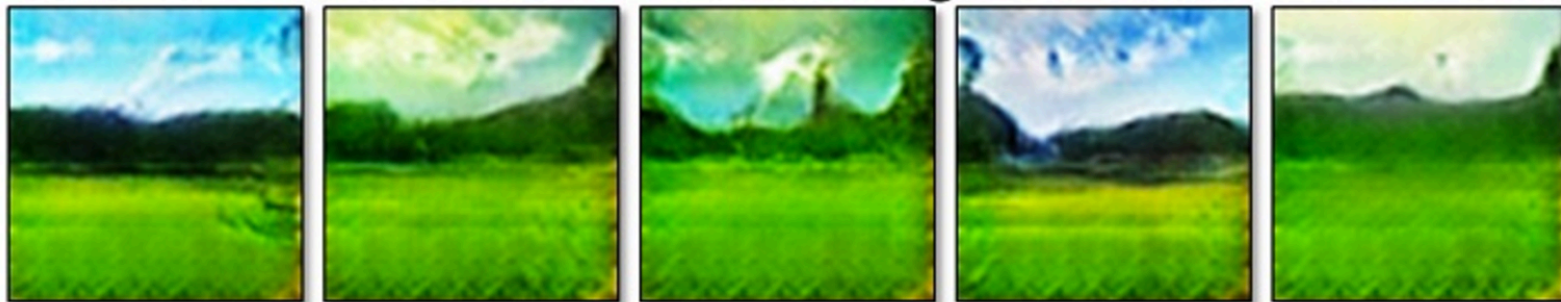


Magic of GANs...

User edits



Generated images



Adversarial Training

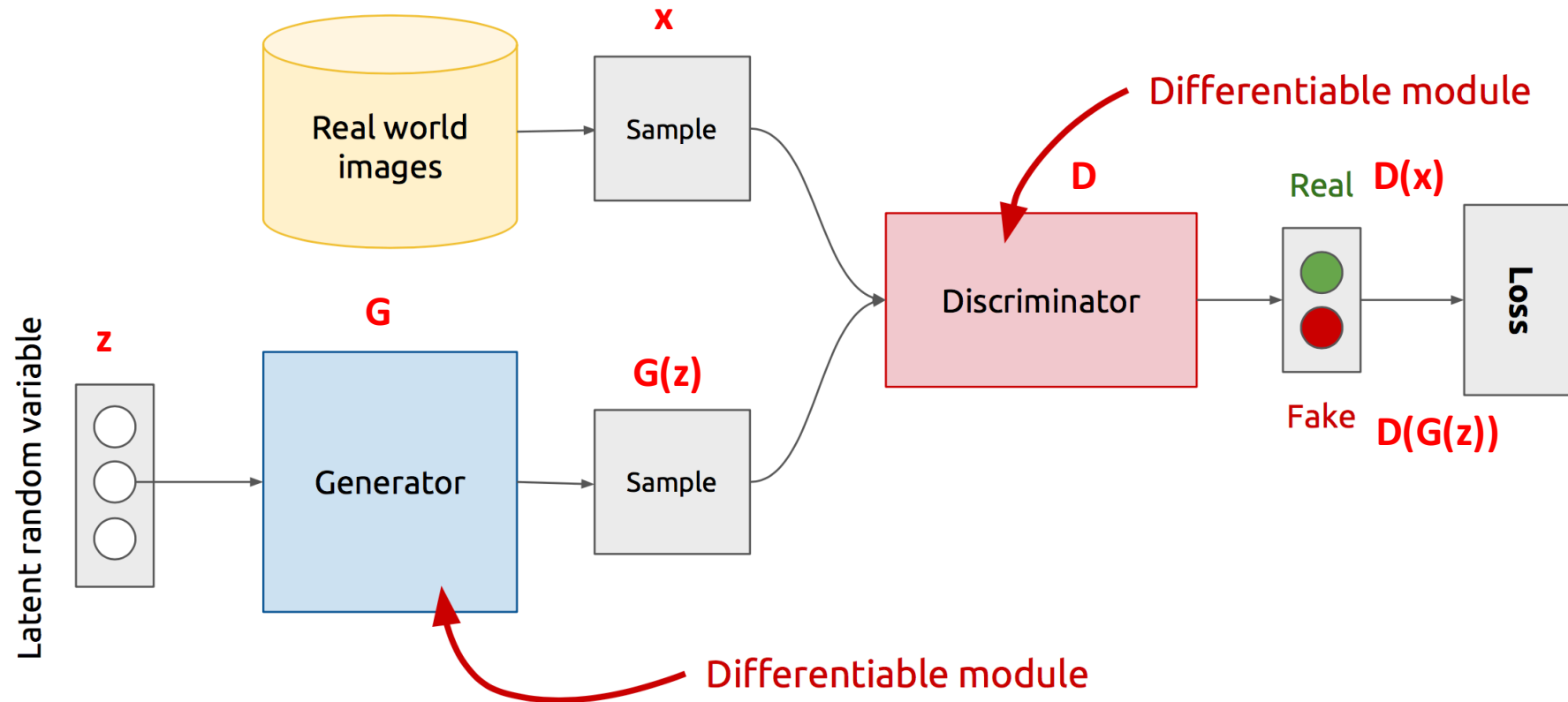
- **In the last lecture, we saw:**

- We can generate adversarial samples to fool a discriminative model
- We can use those adversarial samples to make models robust
- We then require more effort to generate adversarial samples
- Repeat this and we get better discriminative model

- **GANs extend that idea to generative models:**

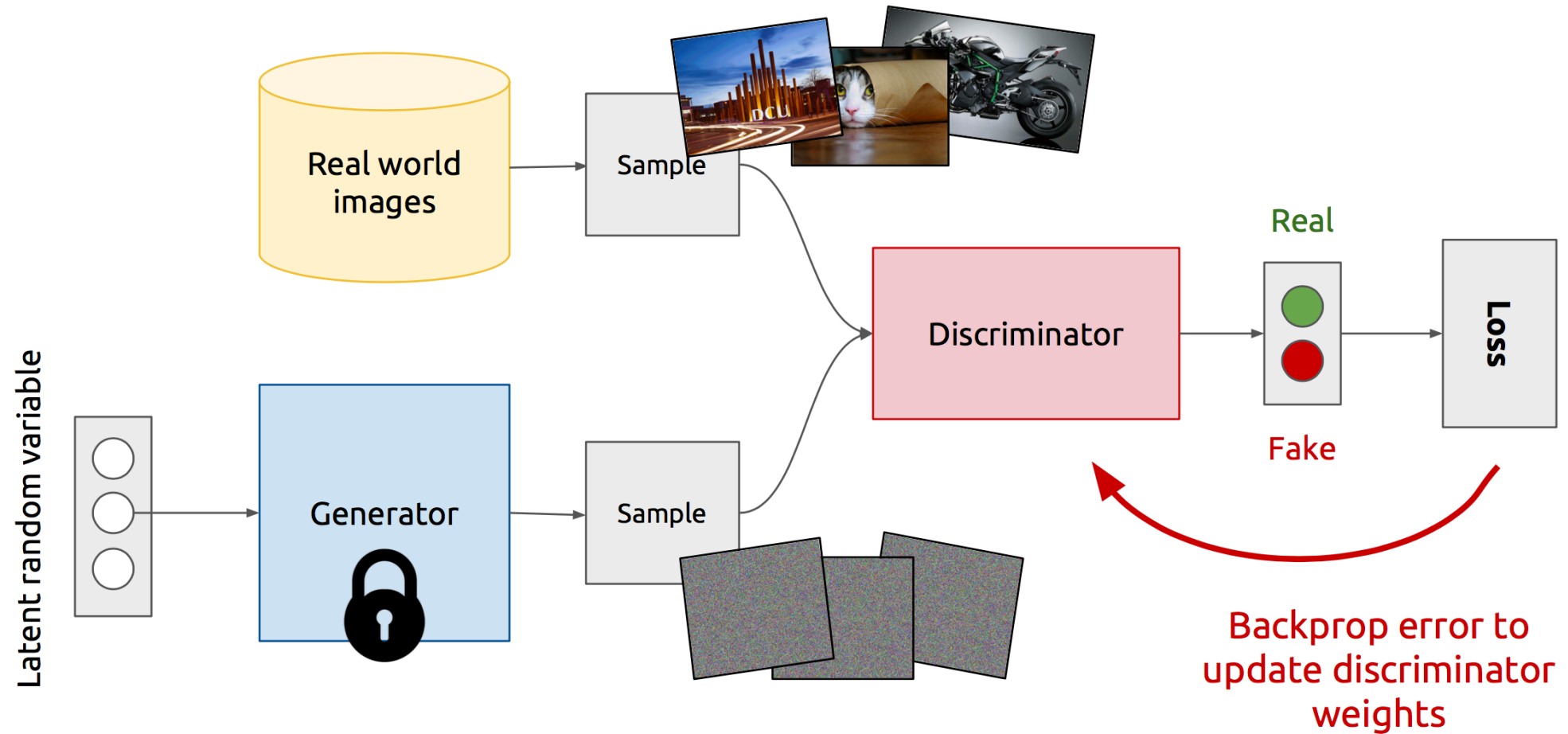
- Generator: generate fake samples, tries to fool the Discriminator
- Discriminator: tries to distinguish between real and fake samples
- Train them against each other
- Repeat this and we get better Generator and Discriminator

GAN's Architecture

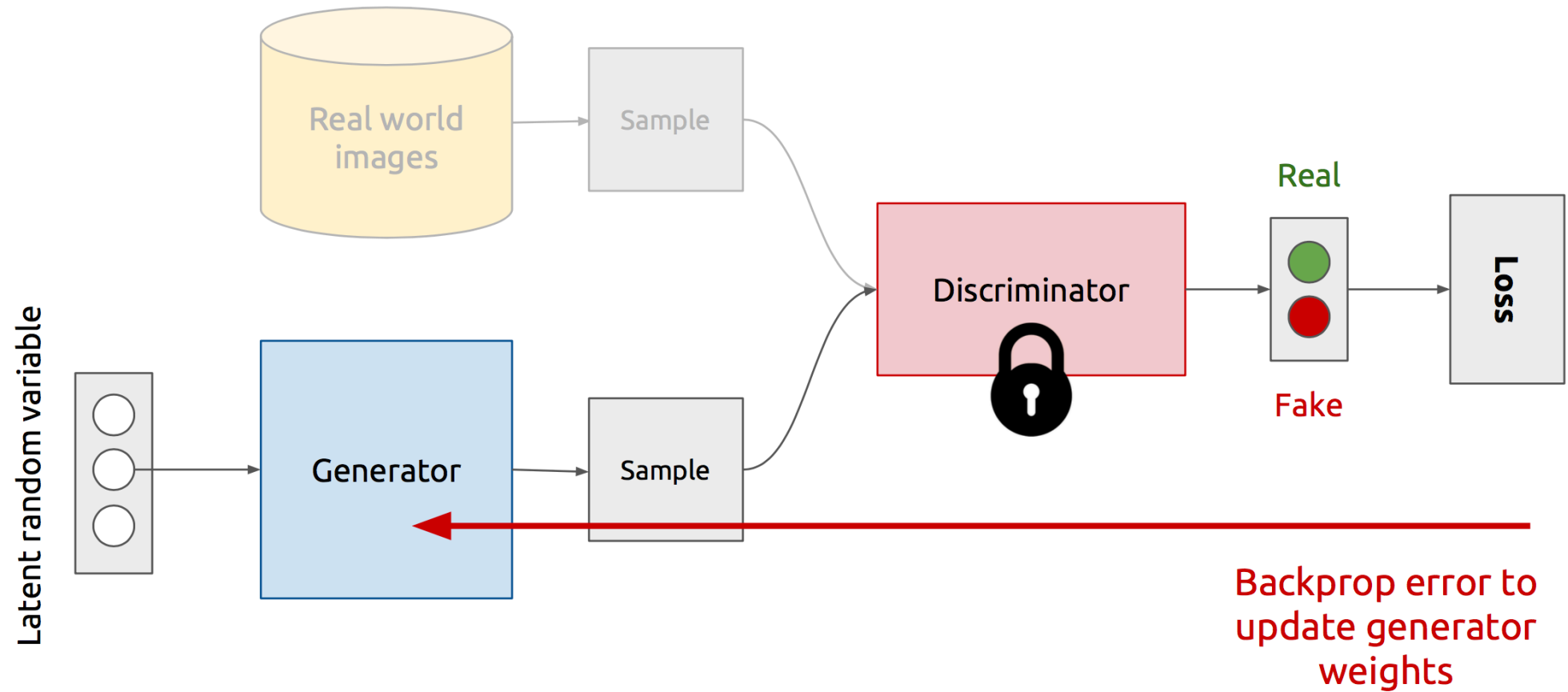


- **Z** is some random noise (Gaussian/Uniform).
- **Z** can be thought as the latent representation of the image.

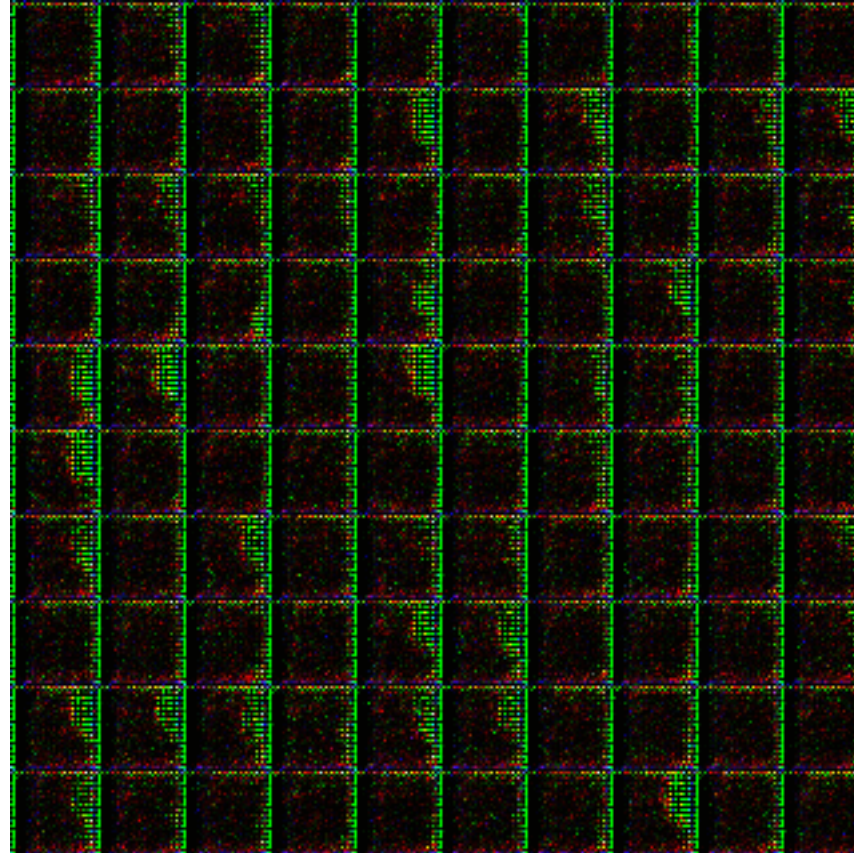
Training Discriminator



Training Generator



Generator in action



GAN's formulation

$$\min_G \max_D V(D, G)$$

- It is formulated as a **minimax game**, where:
 - The Discriminator is trying to maximize its reward $V(D, G)$
 - The Generator is trying to minimize Discriminator's reward (or maximize its loss)

$$V(D, G) = \mathbb{E}_{x \sim p(x)} [\log D(x)] + \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

- The Nash equilibrium of this particular game is achieved at:
 - $P_{data}(x) = P_{gen}(x) \quad \forall x$
 - $D(x) = \frac{1}{2} \quad \forall x$

Algorithm 1 Minibatch stochastic gradient descent training of generative adversarial nets. The number of steps to apply to the discriminator, k , is a hyperparameter. We used $k = 1$, the least expensive option, in our experiments.

for number of training iterations **do**

for k steps **do**

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(x)$.
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D(x^{(i)}) + \log \left(1 - D(G(z^{(i)})) \right) \right].$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log \left(1 - D(G(z^{(i)})) \right).$$

end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

**Discriminator
updates**

**Generator
updates**

Vanishing gradient strikes back again...

$$\min_G \max_D V(D, G)$$
$$V(D, G) = \mathbb{E}_{x \sim p(x)} [\log D(x)] + \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

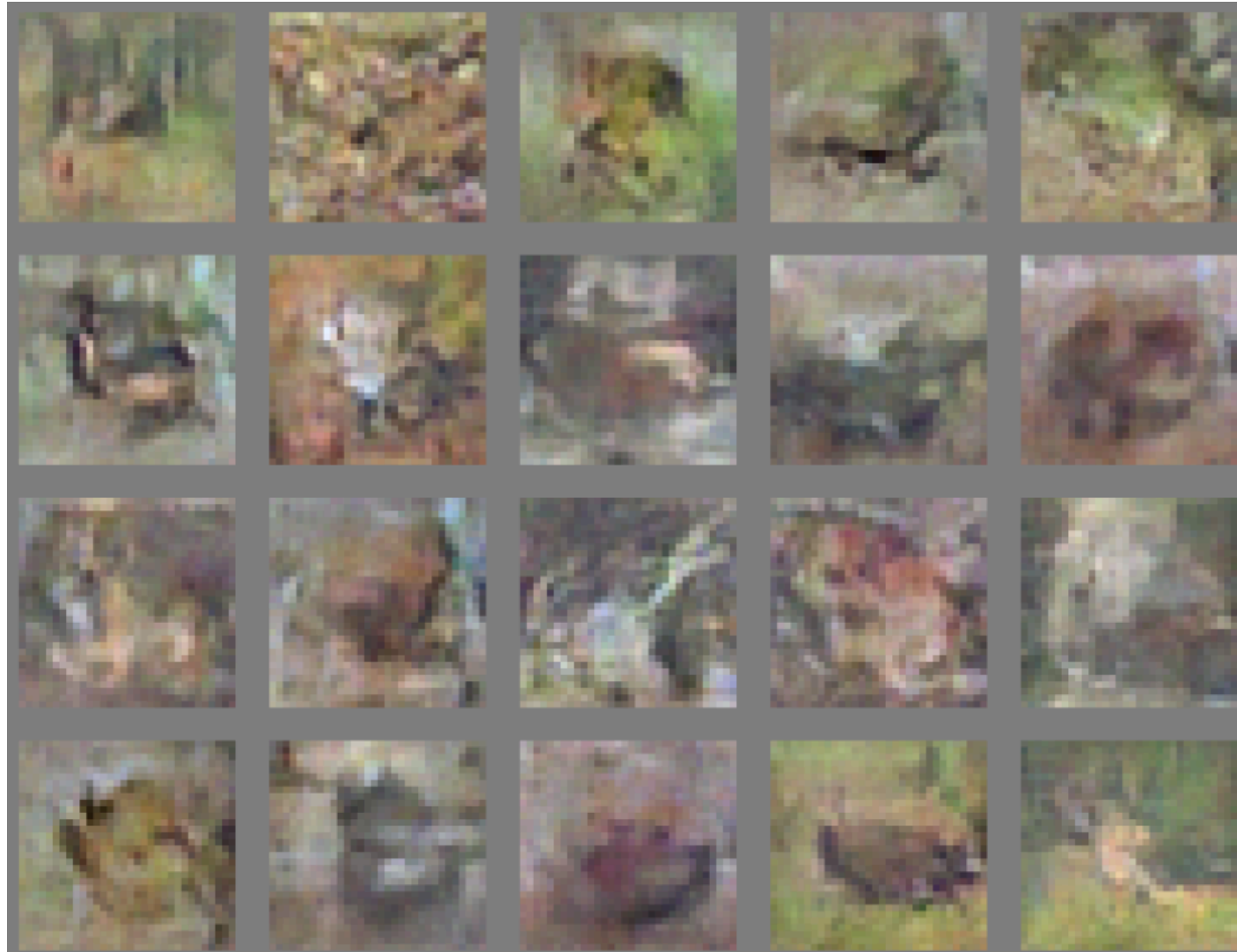
$$\nabla_{\theta_G} V(D, G) = \nabla_{\theta_G} \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

- $\nabla_a \log(1 - \sigma(a)) = \frac{-\nabla_a \sigma(a)}{1 - \sigma(a)} = \frac{-\sigma(a)(1 - \sigma(a))}{1 - \sigma(a)} = -\sigma(a) = -D(G(z))$
- Gradient goes to 0 if D is confident, i.e. $D(G(z)) \rightarrow 0$
- Minimize $-\mathbb{E}_{z \sim q(z)} [\log D(G(z))]$ for **Generator** instead (keep Discriminator as it is)

Faces



CIFAR



Goodfellow, Ian, et al. "**Generative adversarial nets.**" *Advances in neural information processing systems*. 2014.

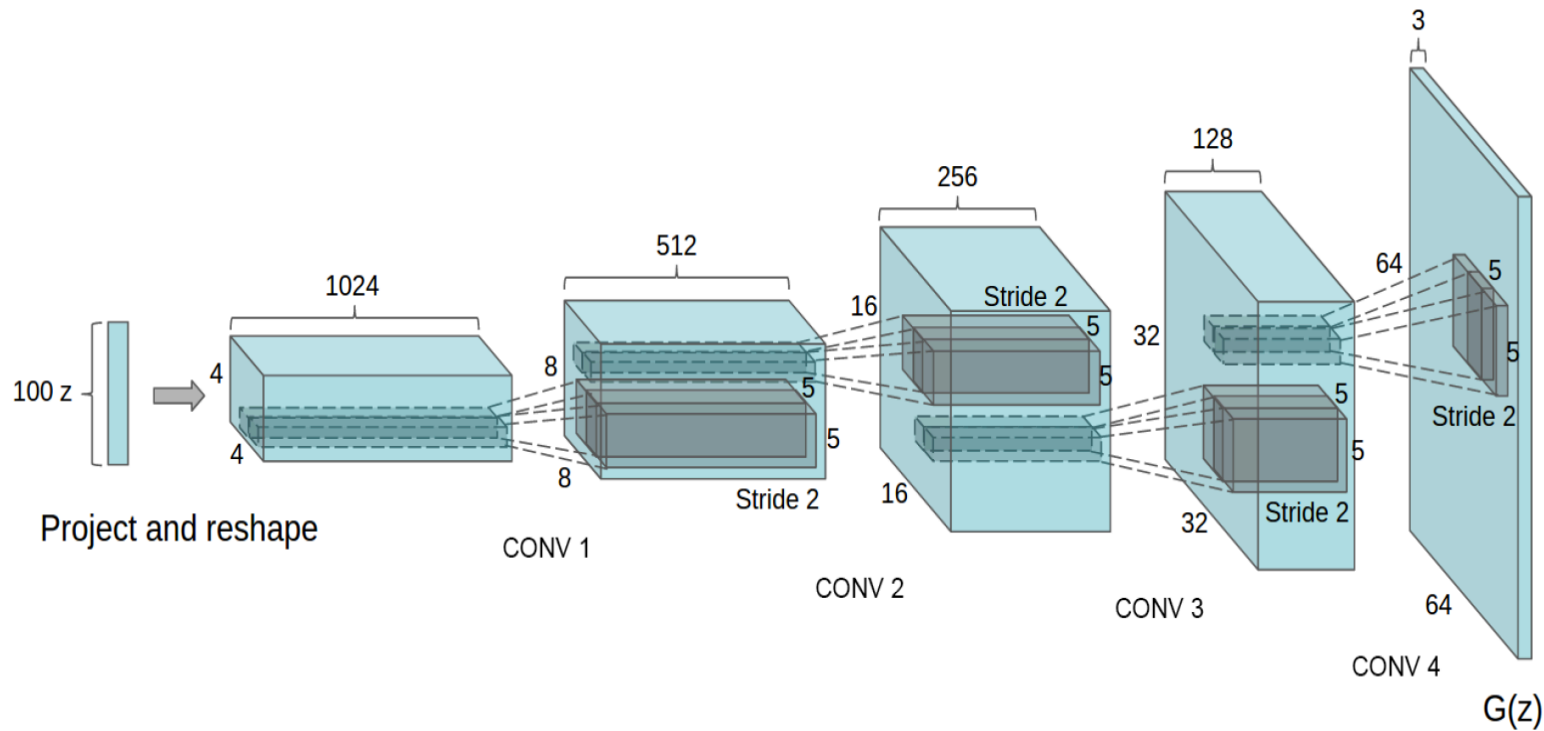
DCGAN: Bedroom images



Radford, Alec, Luke Metz, and Soumith Chintala. "Unsupervised representation learning with deep convolutional generative adversarial networks." arXiv:1511.06434 (2015).

Deep Convolutional GANs (DCGANs)

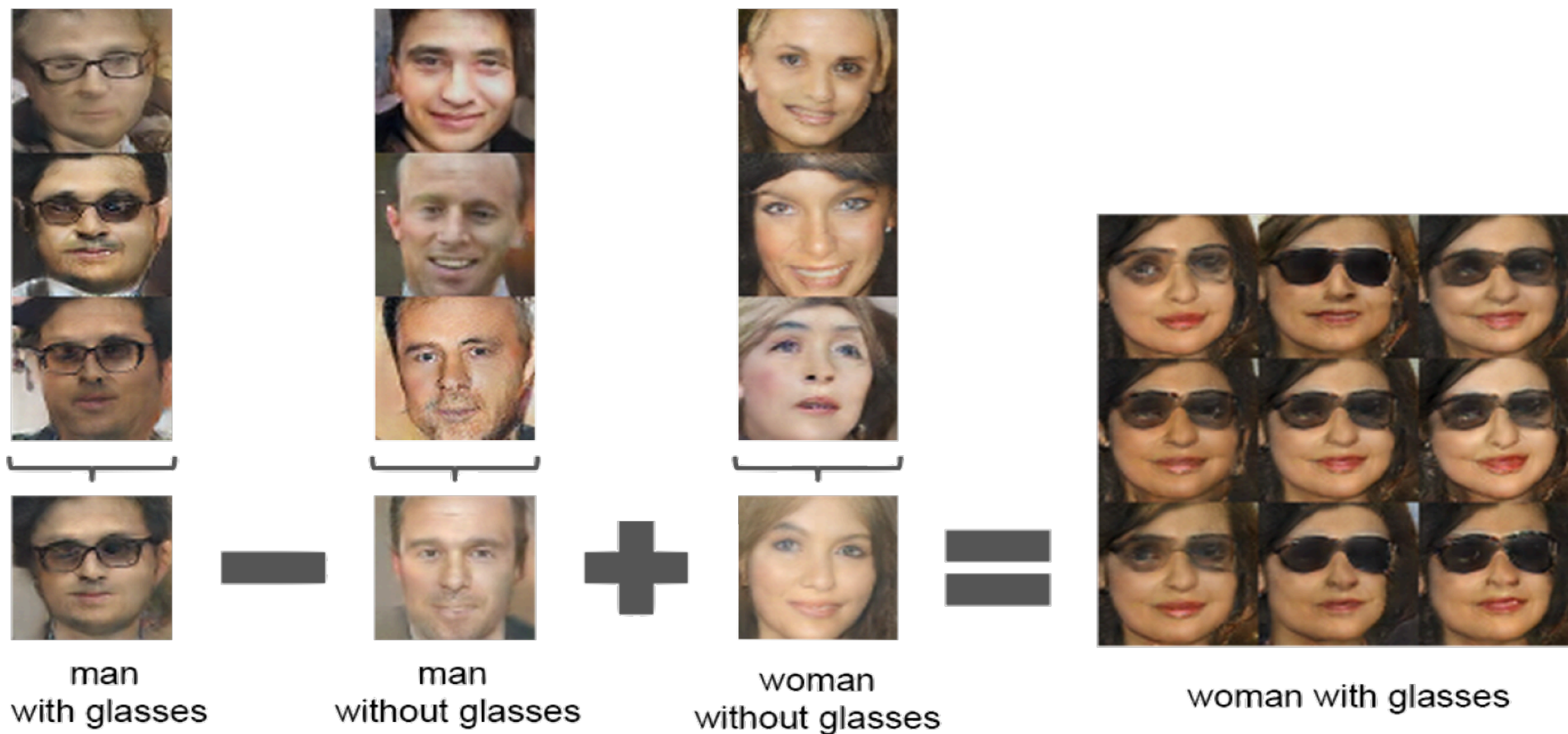
Generator Architecture



Key ideas:

- Replace FC hidden layers with Convolutions
 - **Generator:** Fractional-Strided convolutions
- Use Batch Normalization after each layer
- **Inside Generator**
 - Use ReLU for hidden layers
 - Use Tanh for the output layer

Latent vectors capture interesting patterns...



Part 2

- **Advantages of GANs**
- **Training Challenges**
 - Non-Convergence
 - Mode-Collapse
- **Proposed Solutions**
 - Supervision with Labels
 - Mini-Batch GANs
- **Modification of GAN's losses**
 - Discriminator (**EB-GAN**)
 - Generator (**InfoGAN**)

Advantages of GANs

- **Plenty of existing work on Deep Generative Models**
 - Boltzmann Machine
 - Deep Belief Nets
 - Variational AutoEncoders (VAE)
- **Why GANs?**
 - Sampling (or generation) is straightforward.
 - Training doesn't involve Maximum Likelihood estimation.
 - Robust to Overfitting since Generator never sees the training data.
 - Empirically, GANs are good at capturing the modes of the distribution.

Problems with GANs

- **Probability Distribution is Implicit**
 - Not straightforward to compute $P(X)$.
 - Thus **Vanilla GANs** are only good for Sampling/Generation.
- **Training is Hard**
 - Non-Convergence
 - Mode-Collapse

Training Problems

- **Non-Convergence**
- Mode-Collapse

- **Deep Learning models (in general) involve a single player**
 - The player tries to maximize its reward (minimize its loss).
 - Use SGD (with Backpropagation) to find the optimal parameters.
 - SGD has convergence guarantees (under certain conditions).
 - **Problem:** With non-convexity, we might converge to local optima.

$$\min_G L(G)$$

- **GANs instead involve two (or more) players**
 - Discriminator is trying to maximize its reward.
 - Generator is trying to minimize Discriminator's reward.

$$\min_G \max_D V(D, G)$$

- SGD was not designed to find the Nash equilibrium of a game.
- **Problem:** We might not converge to the Nash equilibrium at all.

Non-Convergence

$$\min_x \max_y V(x, y)$$

$$\text{Let } V(x, y) = xy$$

• State 1:

$x > 0$	$y > 0$	$V > 0$
---------	---------	---------

Increase y	Decrease x
------------	------------

• State 2:

$x < 0$	$y > 0$	$V < 0$
---------	---------	---------

Decrease y	Decrease x
------------	------------

• State 3:

$x < 0$	$y < 0$	$V > 0$
---------	---------	---------

Decrease y	Increase x
------------	------------

• State 4:

$x > 0$	$y < 0$	$V < 0$
---------	---------	---------

Increase y	Increase x
------------	------------

• State 5:

$x > 0$	$y > 0$	$V > 0$
---------	---------	---------

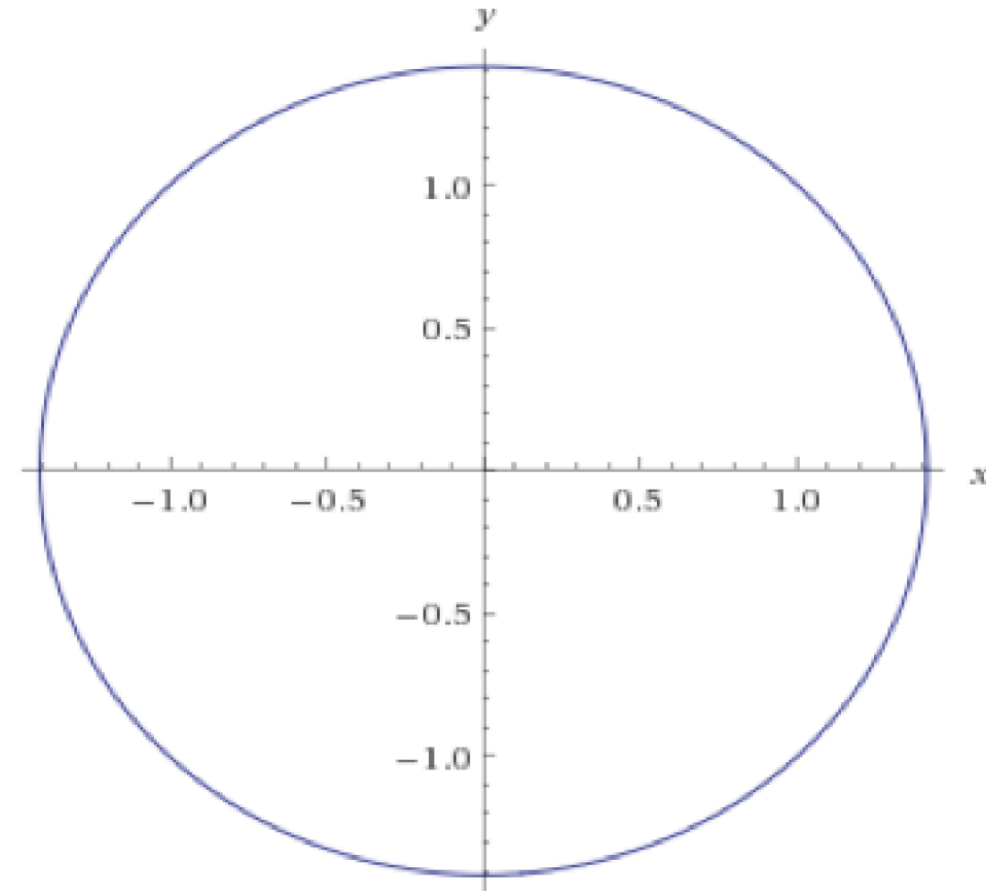
 == State 1

Increase y	Decrease x
------------	------------

Non-Convergence

$$\min_x \max_y xy$$

- $\frac{\partial}{\partial x} = -y \quad \dots \quad \frac{\partial}{\partial y} = x$
- $\frac{\partial^2}{\partial y^2} = \frac{\partial}{\partial x} = -y$
- Differential equation's solution has sinusoidal terms
- Even with a small learning rate, it will not converge



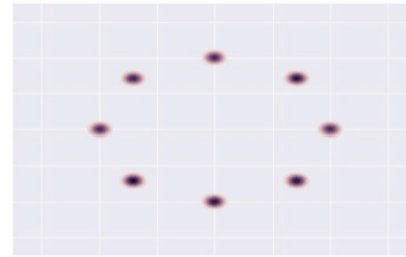
Problems with GANs

- Non-Convergence
- **Mode-Collapse**

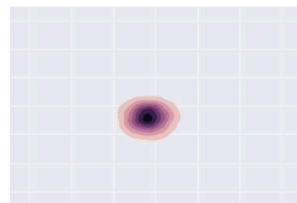
Mode-Collapse

- Generator fails to output diverse samples

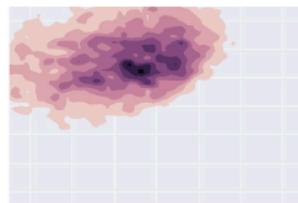
Target



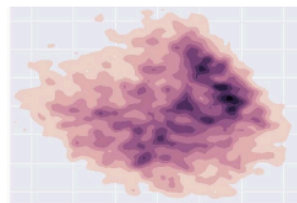
Expected



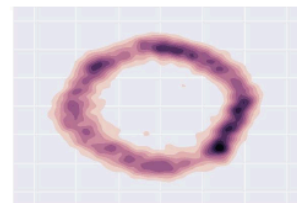
Step 0



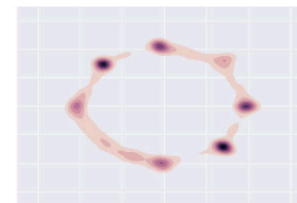
Step 5k



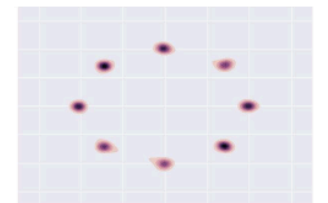
Step 10k



Step 15k

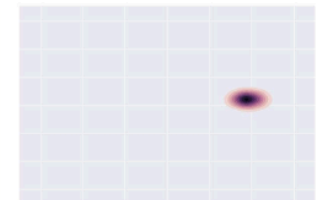
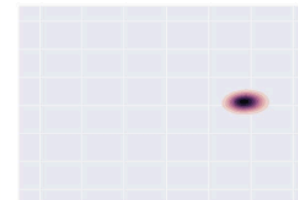
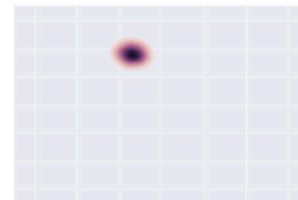
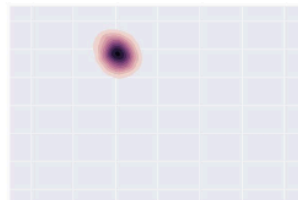
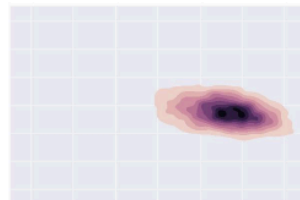
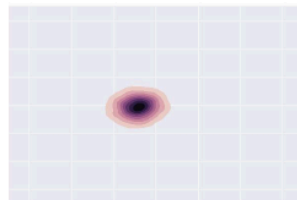


Step 20k



Step 25k

Output



Some real examples



Some Solutions

- Mini-Batch GANs
- Supervision with labels
- Some recent attempts :-
 - [Unrolled GANs](#)
 - [W-GANs](#)

Basic (Heuristic) Solutions

- **Mini-Batch GANs**
- Supervision with labels

How to reward sample diversity?

- **At Mode Collapse,**
 - Generator produces good samples, but a very few of them.
 - Thus, Discriminator can't tag them as fake.
- **To address this problem,**
 - Let the Discriminator know about this edge-case.
- **More formally,**
 - Let the Discriminator look at the entire batch instead of single examples
 - If there is lack of diversity, it will mark the examples as fake
- **Thus,**
 - Generator will be forced to produce diverse samples.

Mini-Batch GANs

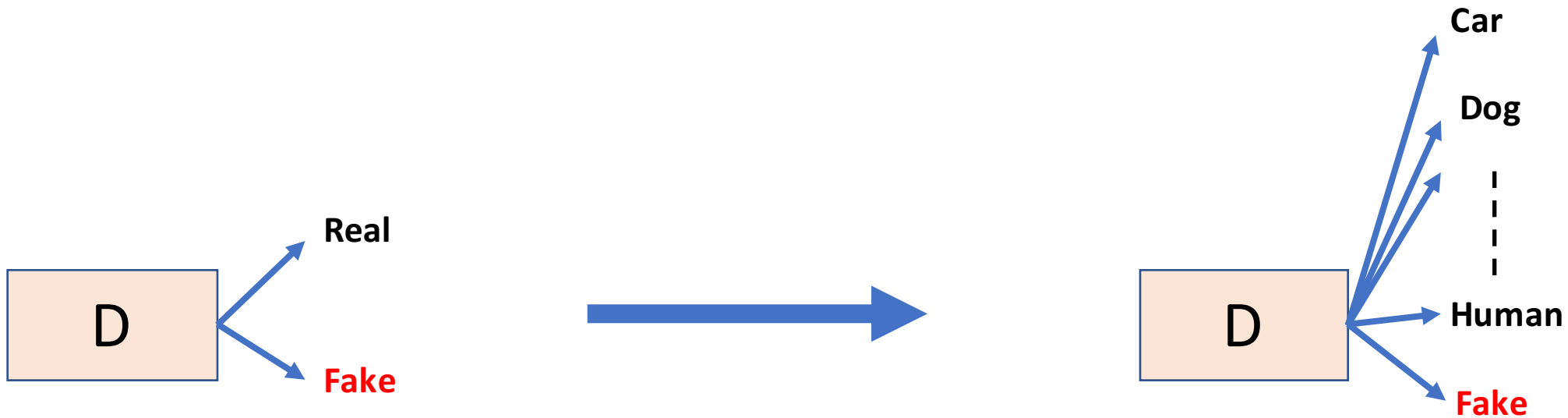
- **Extract features that capture diversity in the mini-batch**
 - For e.g. L2 norm of the difference between all pairs from the batch
- **Feed those features to the discriminator along with the image**
- **Feature values will differ b/w diverse and non-diverse batches**
 - Thus, Discriminator will rely on those features for classification
- **This in turn,**
 - Will force the Generator to match those feature values with the real data
 - Will generate diverse batches

Basic (Heuristic) Solutions

- Mini-Batch GANs
- **Supervision with labels**

Supervision with Labels

- Label information of the real data might help



- Empirically generates much better samples

Alternate view of GANs

$$\min_G \max_D V(D, G)$$
$$V(D, G) = \mathbb{E}_{x \sim p(x)} [\log D(x)] + \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

$$D^* = \operatorname{argmax}_D V(D, G)$$

$$G^* = \operatorname{argmin}_G V(D, G)$$

- In this formulation, Discriminator's strategy was $D(x) \rightarrow 1, D(G(z)) \rightarrow 0$

- Alternatively, we can flip the binary classification labels i.e. **Fake = 1, Real = 0**

$$V(D, G) = \mathbb{E}_{x \sim p(x)} [\log(1 - D(x))] + \mathbb{E}_{z \sim q(z)} [\log(D(G(z)))]$$

- In this new formulation, Discriminator's strategy will be $D(x) \rightarrow 0, D(G(z)) \rightarrow 1$

Alternate view of GANs (Contd.)

- If all we want to encode is $D(x) \rightarrow 0$, $D(G(z)) \rightarrow 1$

$$D^* = \operatorname{argmax}_D \mathbb{E}_{x \sim p(x)} [\log(1 - D(x))] + \mathbb{E}_{z \sim q(z)} [\log(D(G(z)))]$$

We can use this

$$D^* = \operatorname{argmin}_D \mathbb{E}_{x \sim p(x)} \log(D(x)) + \mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]$$

- Now, we can replace cross-entropy with any loss function (**Hinge Loss**)

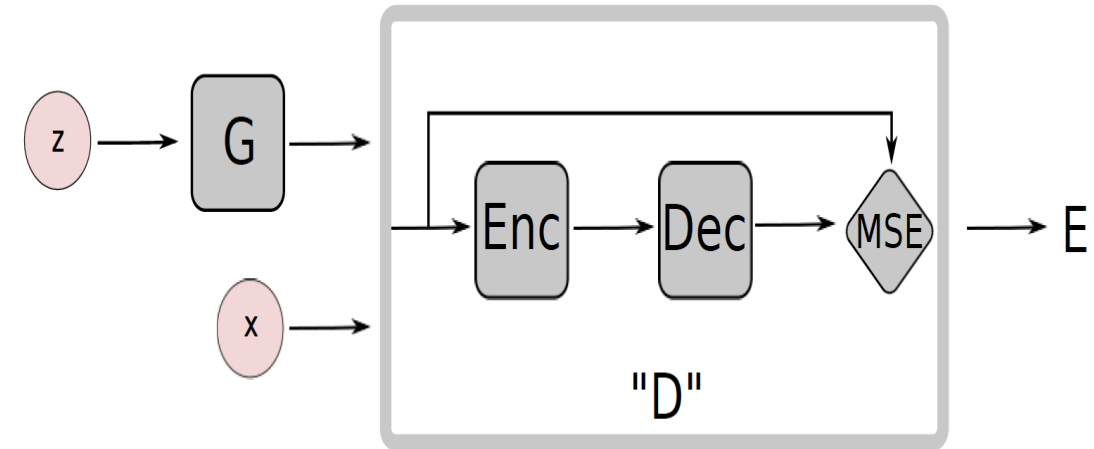
$$D^* = \operatorname{argmin}_D \mathbb{E}_{x \sim p(x)} D(x) + \mathbb{E}_{z \sim q(z)} \max(0, m - D(G(z)))$$

- And thus, instead of outputting probabilities, Discriminator just has to output:-
 - High values for fake samples
 - Low values for real samples

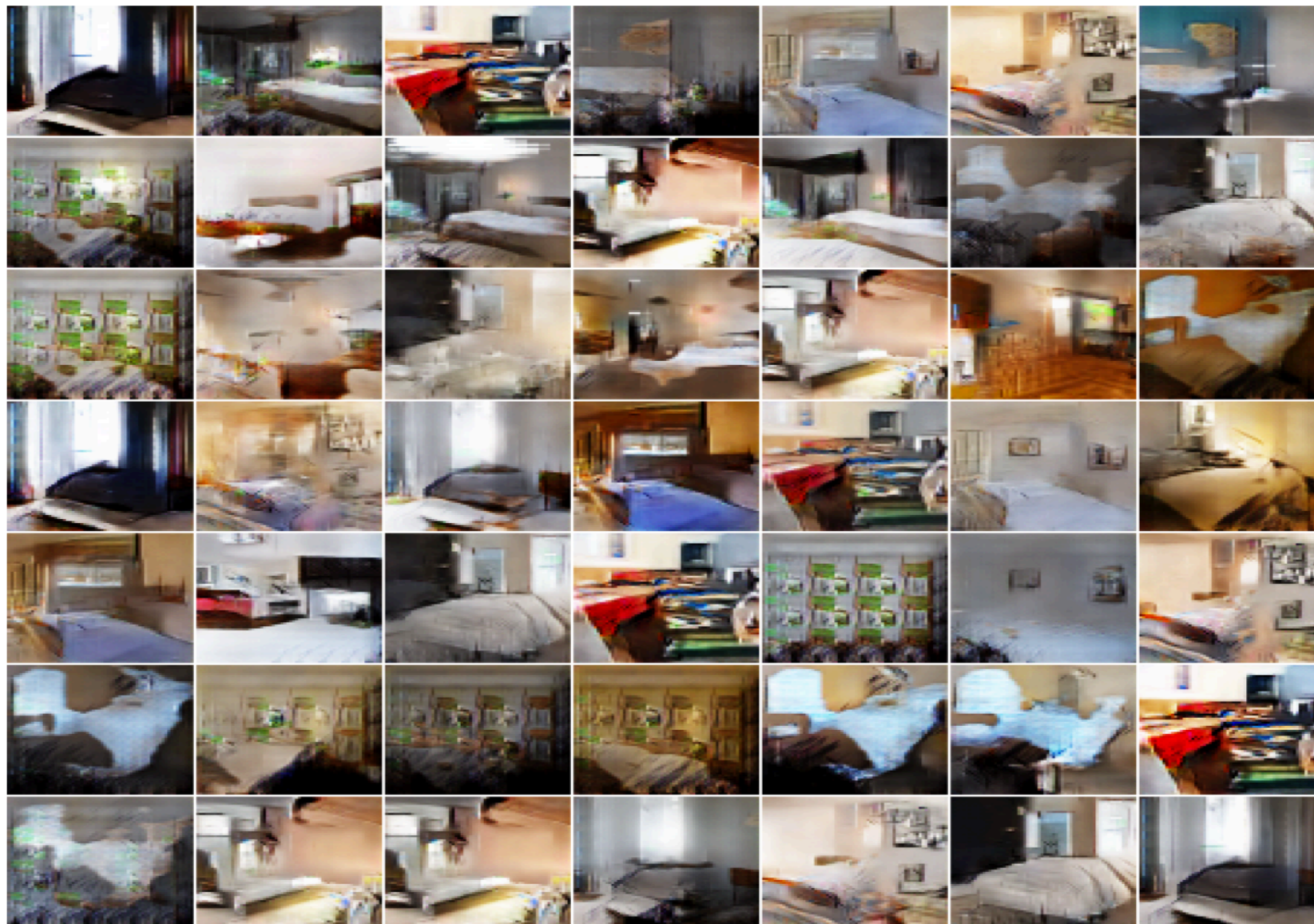
Energy-Based GANs

- Modified game plans
 - **Generator** will try to generate samples with low values
 - **Discriminator** will try to assign high scores to fake values
- Use AutoEncoder inside the Discriminator
- Use Mean-Squared Reconstruction error as $D(x)$
 - High Reconstruction Error for Fake samples
 - Low Reconstruction Error for Real samples

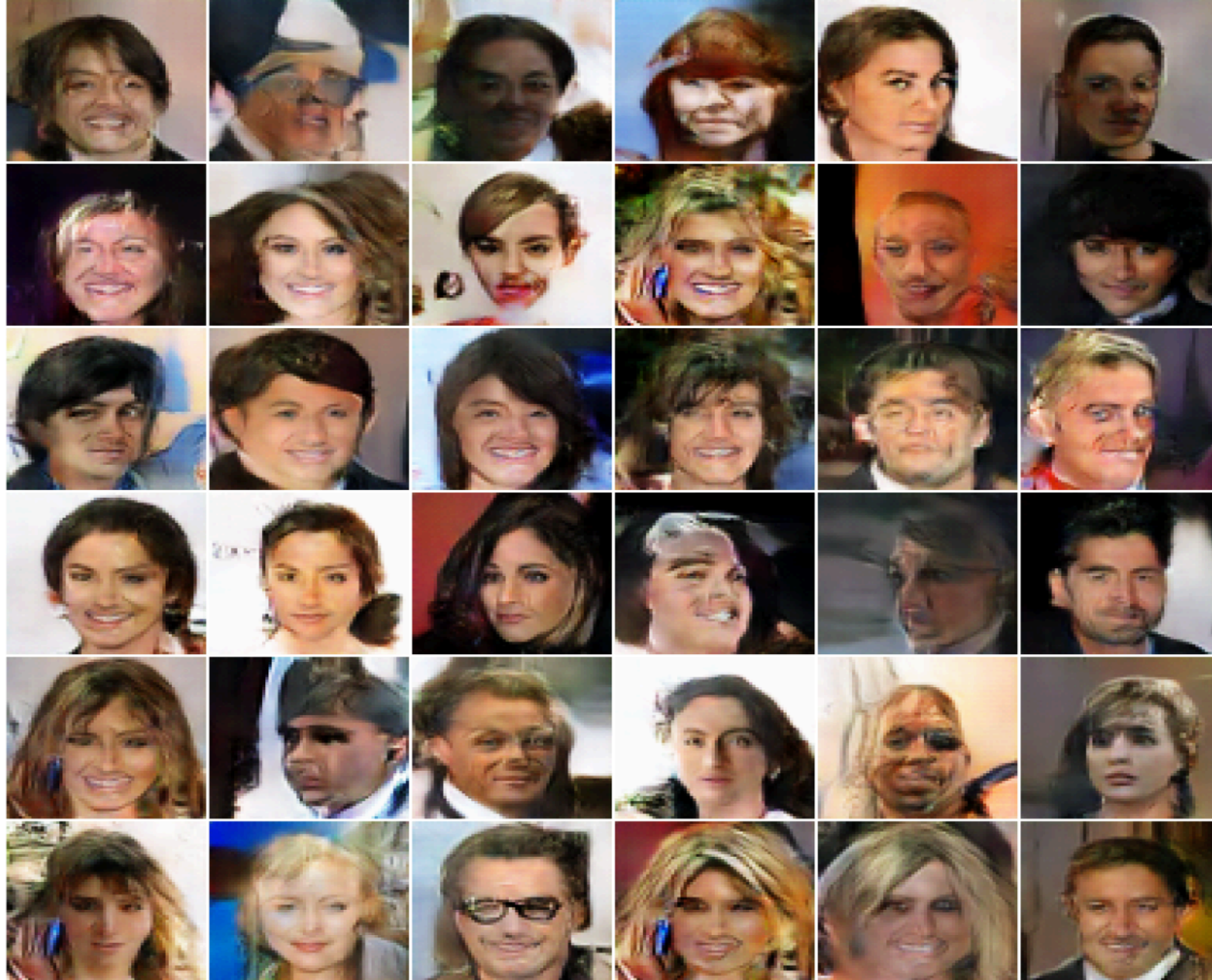
$$D(x) = ||Dec(Enc(x)) - x||_{MSE}$$



More Bedrooms...



Celebs...

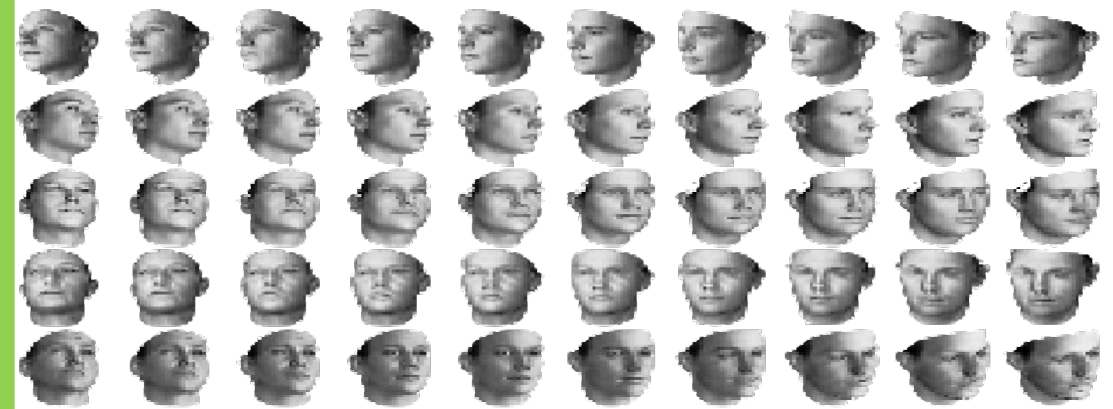


The Cool Stuff...

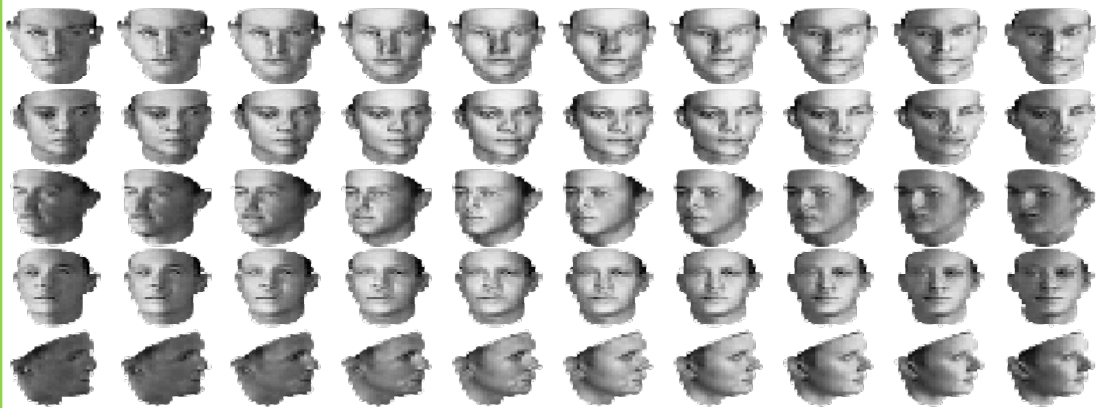
3D Faces



(a) Azimuth (pose)



(b) Elevation



(c) Lighting



(d) Wide or Narrow

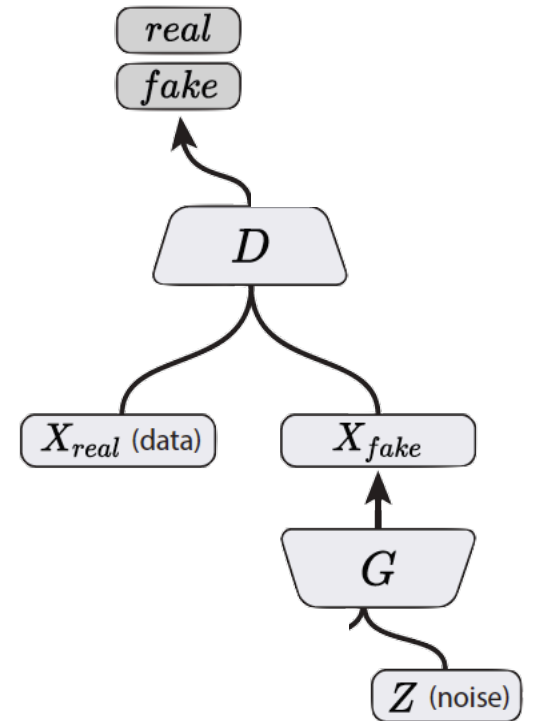
Cool Stuff (contd.)

3D Chairs



How to reward Disentanglement?

- Disentanglement means individual dimensions independently capturing key attributes of the image
- **Let's partition the noise vector into 2 parts :-**
 - **z** vector will capture slight variations in the image
 - **c** vector will capture the main attributes of the image
 - For e.g. **Digit**, **Angle** and **Thickness** of images in MNIST
- If **c** vector captures the key variations in the image,
Will **c and x_{fake} be highly correlated or weakly correlated?**



Recap: Mutual Information

- Mutual Information captures the mutual dependence between two variables
- Mutual information between two variables X, Y is defined as:

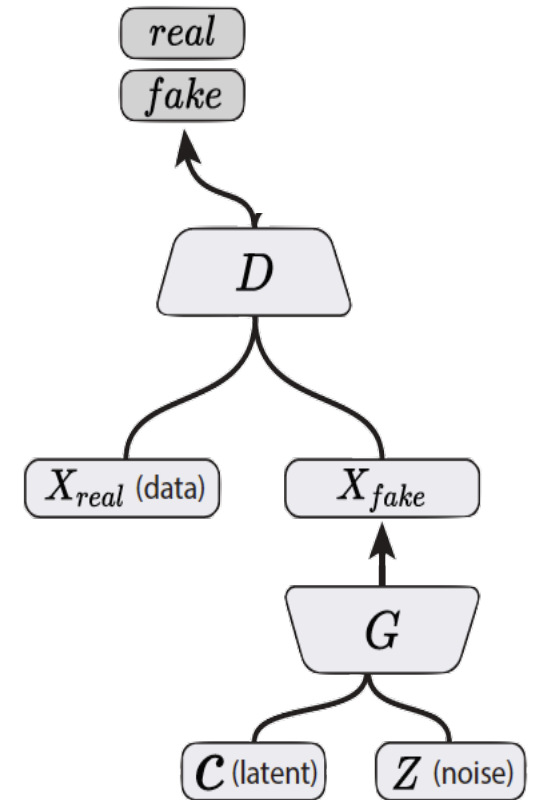
$$I(X; Y) = \sum_{x,y} p(x, y) \log \left(\frac{p(x, y)}{p(x)p(y)} \right)$$

$$I(X; Y) = H(X) - H(X|Y) = H(Y) - H(Y|X)$$

InfoGAN

- We want to maximize the mutual information I between \mathbf{c} and $\mathbf{x} = \mathbf{G}(\mathbf{z}, \mathbf{c})$
- Incorporate in the value function of the minimax game.

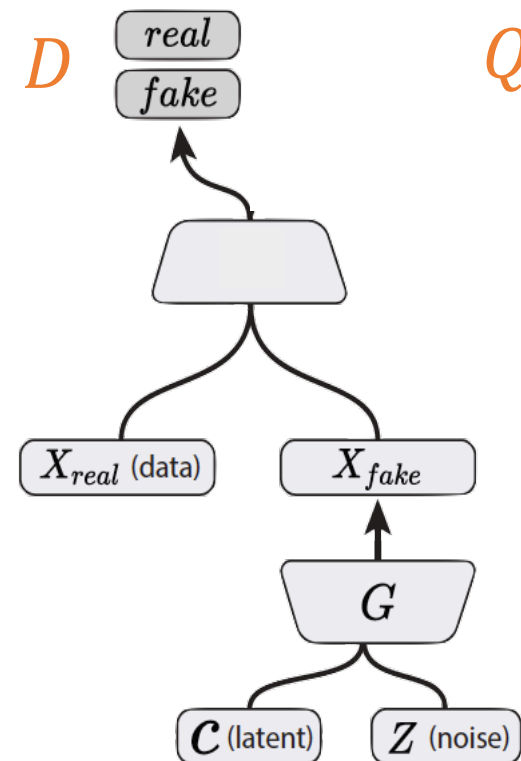
$$\min_G \max_D V_I(D, G) = V(D, G) - \lambda I(\mathbf{c}; \mathbf{G}(\mathbf{z}, \mathbf{c}))$$



InfoGAN

Mutual Information's Variational Lower bound

$$\begin{aligned} I(c; G(z, c)) &= H(c) - H(c|G(z, c)) \\ &= \mathbb{E}_{x \sim G(z, c)} \left[\mathbb{E}_{c' \sim P(c|x)} [\log P(c'|x)] \right] + H(c) \\ &= \mathbb{E}_{x \sim G(z, c)} \left[D_{KL}(P||Q) + \mathbb{E}_{c' \sim P(c|x)} [\log Q(c'|x)] \right] + H(c) \\ &\geq \mathbb{E}_{x \sim G(z, c)} \left[\mathbb{E}_{c' \sim P(c|x)} [\log Q(c'|x)] \right] + H(c) \\ &\geq \mathbb{E}_{c \sim P(c), x \sim G(z, c)} [\log Q(c|x)] + H(c) \end{aligned}$$



Part 3

- **Conditional GANs**
- **Applications**
 - Image-to-Image Translation
 - Text-to-Image Synthesis
 - Face Aging
- **Advanced GAN Extensions**
 - Coupled GAN
 - LAPGAN – Laplacian Pyramid of Adversarial Networks
 - Adversarially Learned Inference
- **Summary**

Conditional GANs

MNIST digits generated conditioned on their class label.

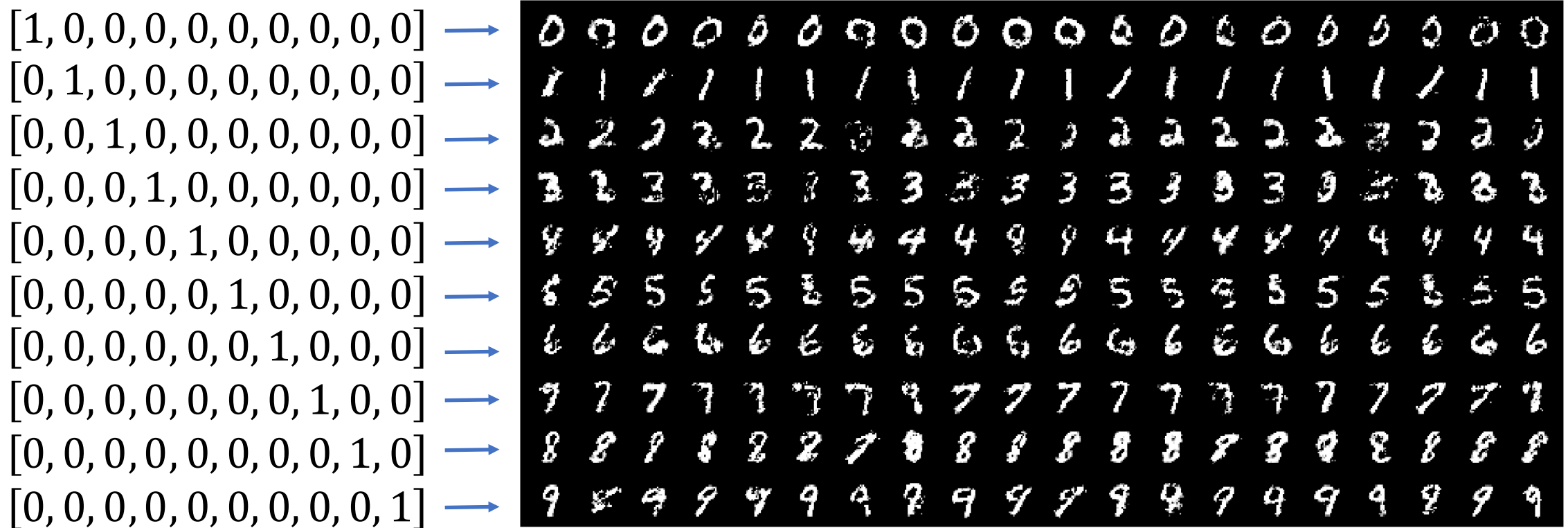
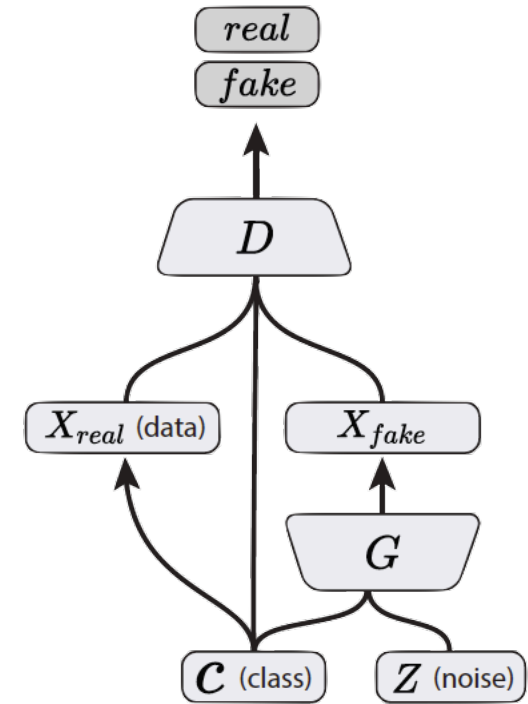


Figure 2 in the original paper.

Conditional GANs

- Simple modification to the original GAN framework that conditions the model on *additional information* for better multi-modal learning.
- Lends to many practical applications of GANs when we have explicit *supervision* available.



Conditional GAN
(Mirza & Osindero, 2014)

Image Credit: Figure 2 in Odena, A., Olah, C. and Shlens, J., 2016. Conditional image synthesis with auxiliary classifier GANs. *arXiv preprint arXiv:1610.09585*.

Part 3

- Conditional GANs
- **Applications**
 - Image-to-Image Translation
 - Text-to-Image Synthesis
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- **Advanced GAN Extensions**
 - Coupled GAN
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- **Summary**

Image-to-Image Translation

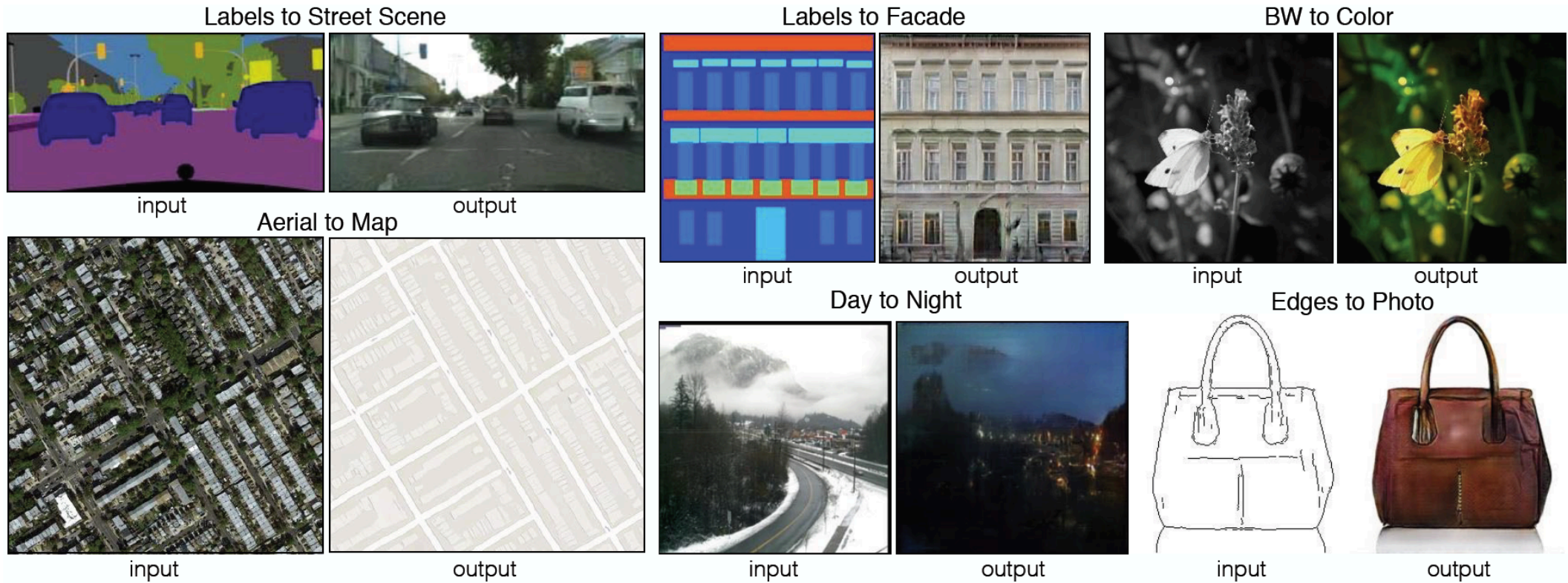


Figure 1 in the original paper.

[Link to an interactive demo of this paper](#)

Image-to-Image Translation

- Architecture: *DCGAN*-based architecture
- Training is conditioned on the images from the source domain.
- Conditional GANs provide an effective way to handle many complex domains without worrying about designing *structured loss* functions explicitly.

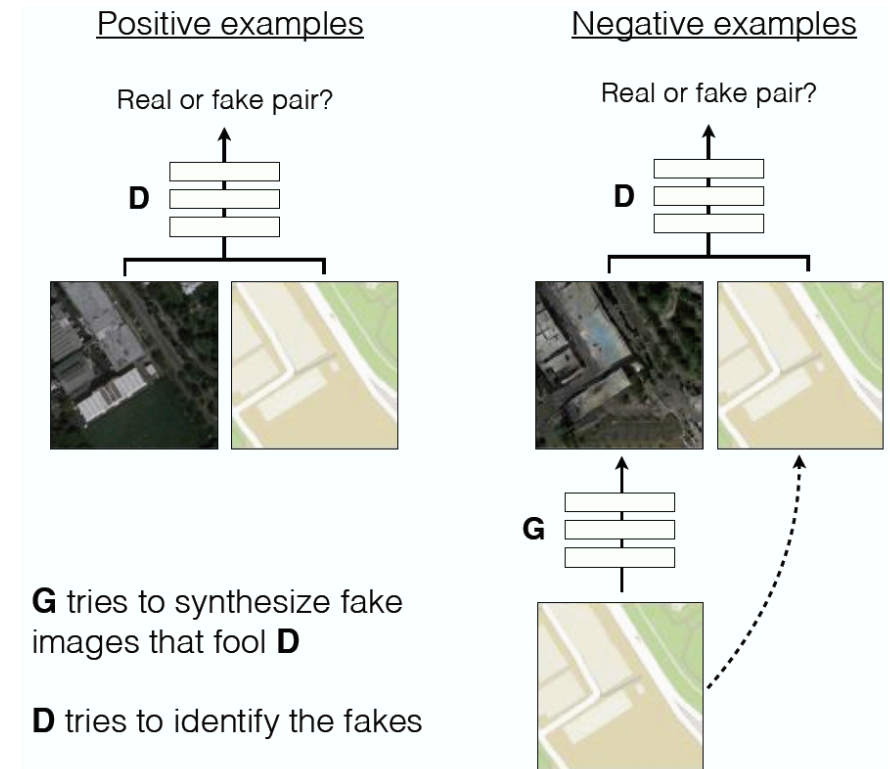


Figure 2 in the original paper.

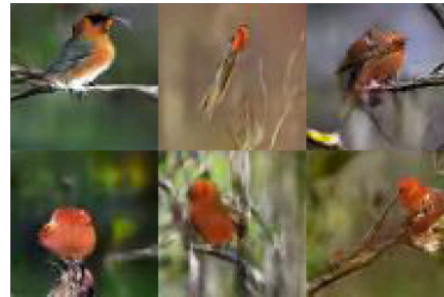
Text-to-Image Synthesis

Motivation

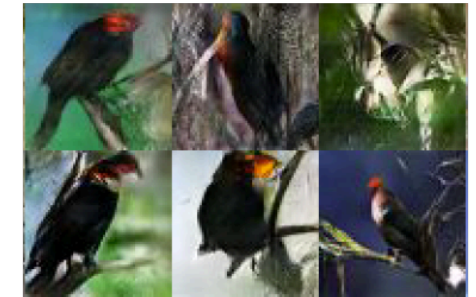
Given a text description, generate images closely associated.

Uses a conditional GAN with the generator and discriminator being condition on “dense” text embedding.

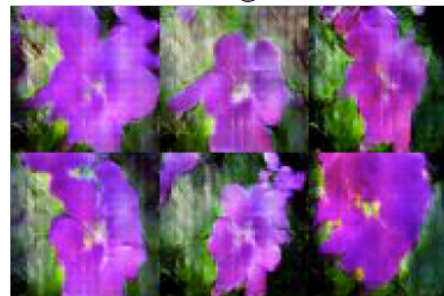
this small bird has a pink breast and crown, and black primaries and secondaries.



this magnificent fellow is almost all black with a red crest, and white cheek patch.



the flower has petals that are bright pinkish purple with white stigma



this white and yellow flower have thin white petals and a round yellow stamen

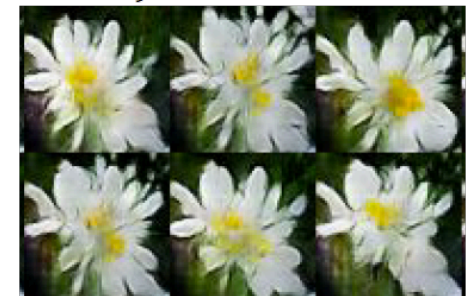


Figure 1 in the original paper.

Text-to-Image Synthesis

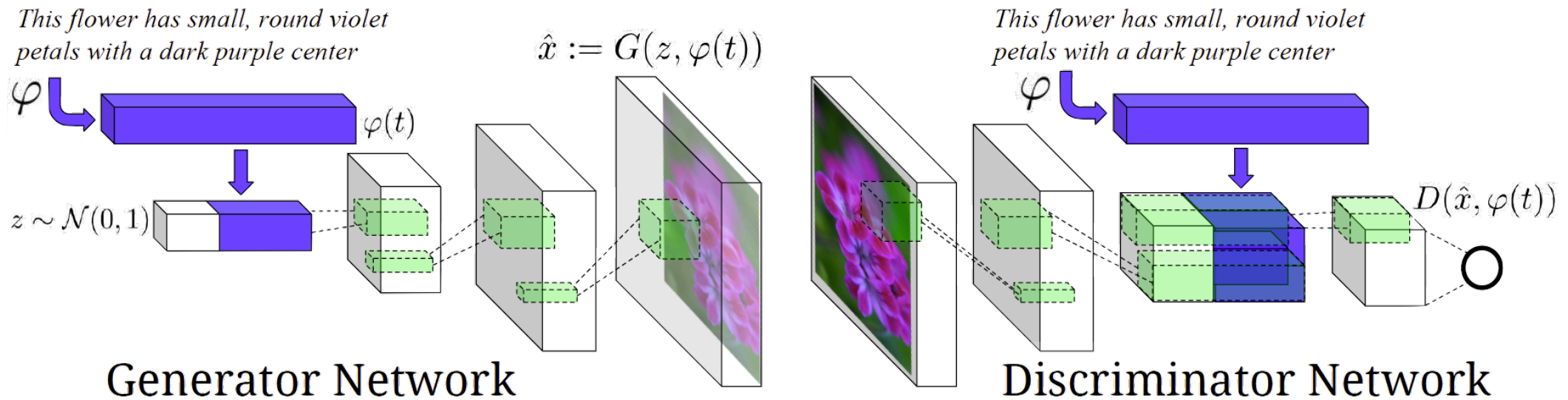


Figure 2 in the original paper.

Positive Example:
Real Image, Right Text

Negative Examples:
Real Image, Wrong Text
Fake Image, Right Text

Face Aging with Conditional GANs

- Differentiating Feature: Uses an *Identity Preservation Optimization* using an auxiliary network to get a better approximation of the latent code (z^*) for an input image.
- Latent code is then conditioned on a discrete (one-hot) embedding of age categories.

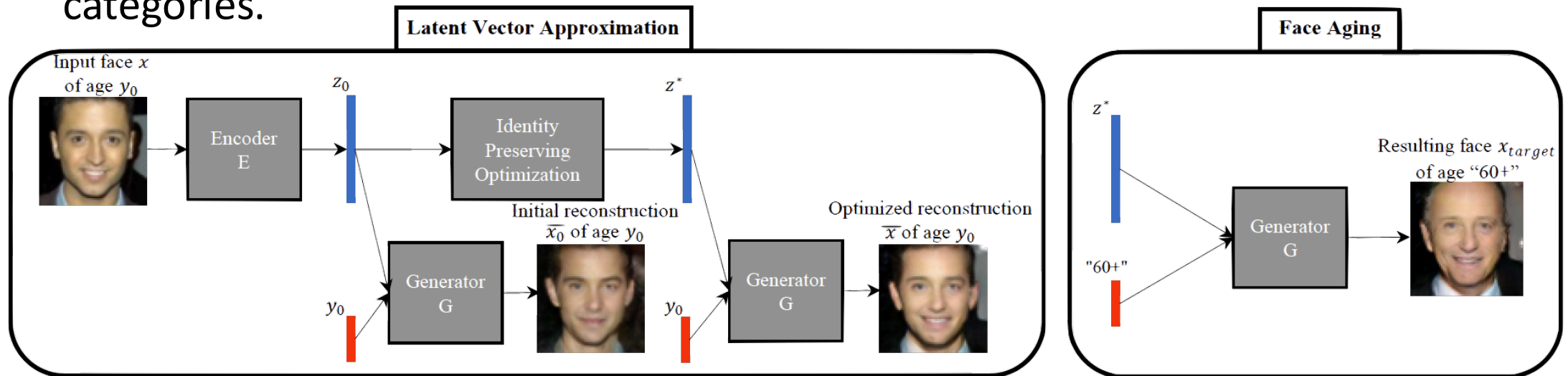


Figure 1 in the original paper.

Face Aging with Conditional GANs

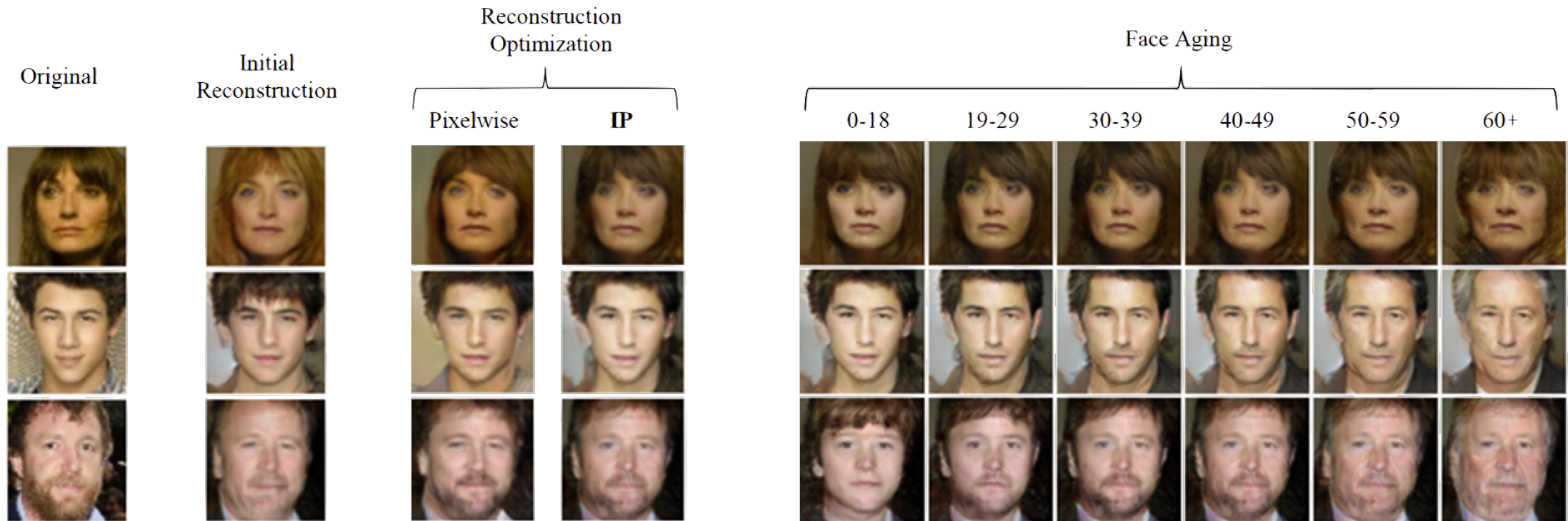


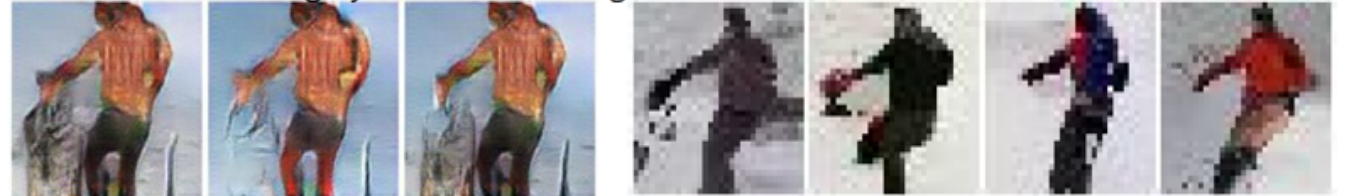
Figure 3 in the original paper.

Conditional GANs

Conditional Model Collapse

- Scenario observed when the Conditional GAN starts *ignoring* either the code (c) or the noise variables (z).
- This limits the diversity of images generated.

A man in a orange jacket with sunglasses and a hat ski down a hill.



This guy is in black trunks and swimming underwater.



A tennis player in a blue polo shirt is looking down at the green court.



Credit?

Part 3

- Conditional GANs
- Applications
 - Image-to-Image Translation
 - Text-to-Image Synthesis
 - Face Aging
- **Advanced GAN Extensions**
 - Coupled GAN
 - LAPGAN – Laplacian Pyramid of Adversarial Networks
 - Adversarially Learned Inference
- Summary

Coupled GAN

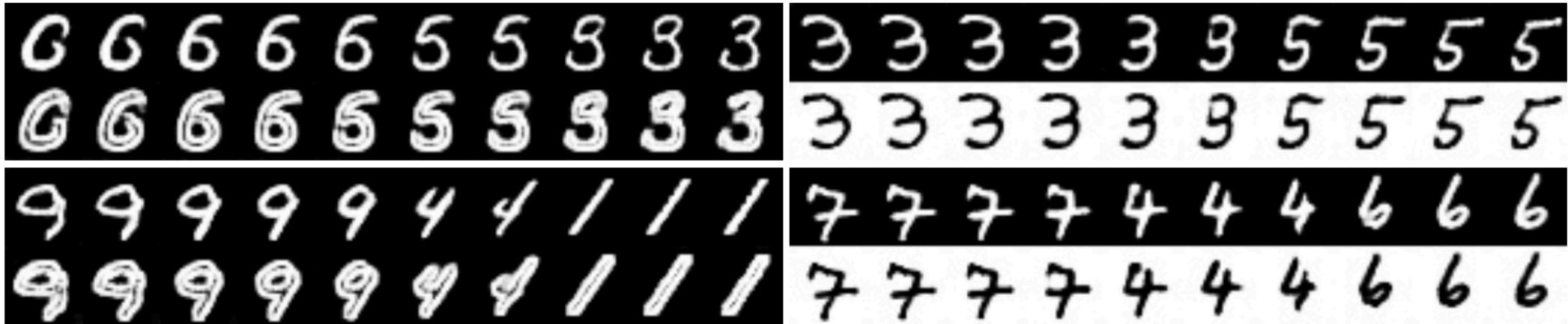


Figure 2 in the original paper.

- Learning a *joint distribution* of *multi-domain* images.
- Using GANs to learn the joint distribution with samples drawn from the marginal distributions.
- Direct applications in domain adaptation and image translation.

Coupled GANs

- Architecture

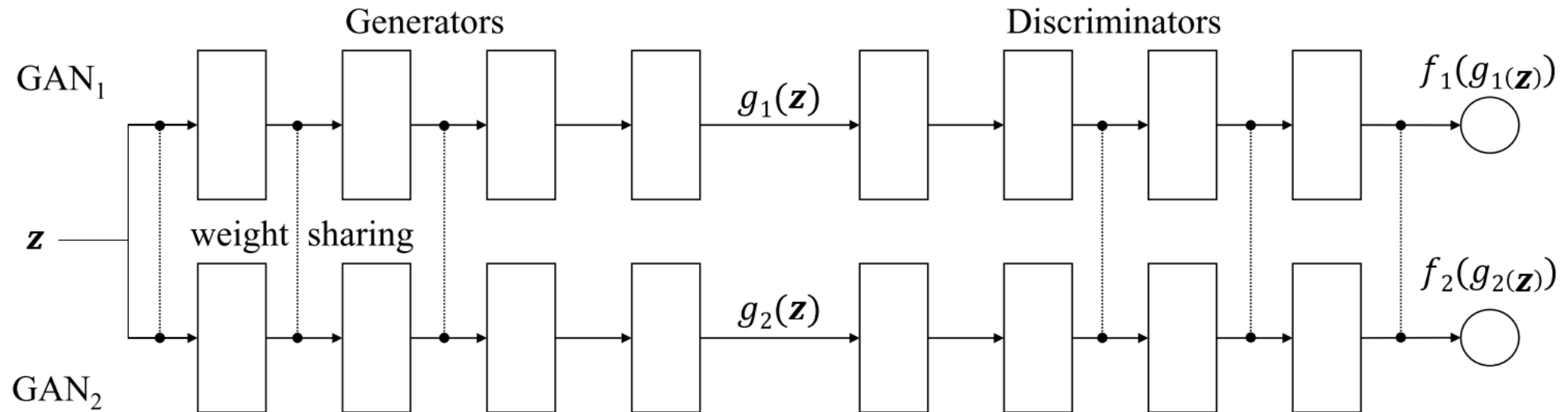


Figure 1 of the original paper.

Weight-sharing constraints the network to learn a *joint distribution* without corresponding supervision.

Coupled GANs

- Some examples of generating facial images across different feature domains.
- Corresponding images in a column are generated from the same latent code z

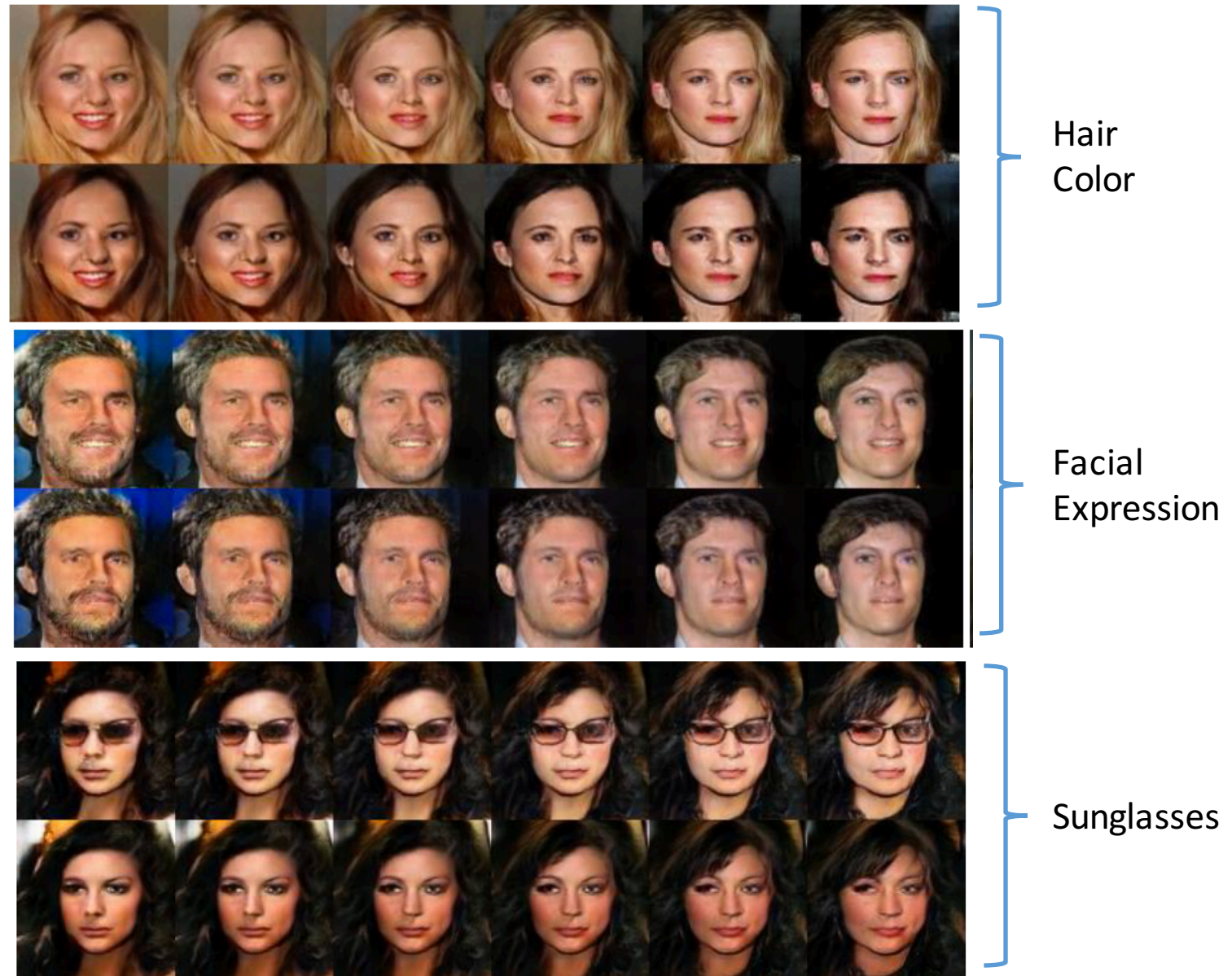


Figure 4 in the original paper.

Laplacian Pyramid of Adversarial Networks



Figure 1 in the original paper. (Edited for simplicity)

- Based on the Laplacian Pyramid representation of images. (1983)
- Generate high resolution (dimension) images by using a hierarchical system of GANs
- Iteratively increase image resolution and quality.

Laplacian Pyramid of Adversarial Networks

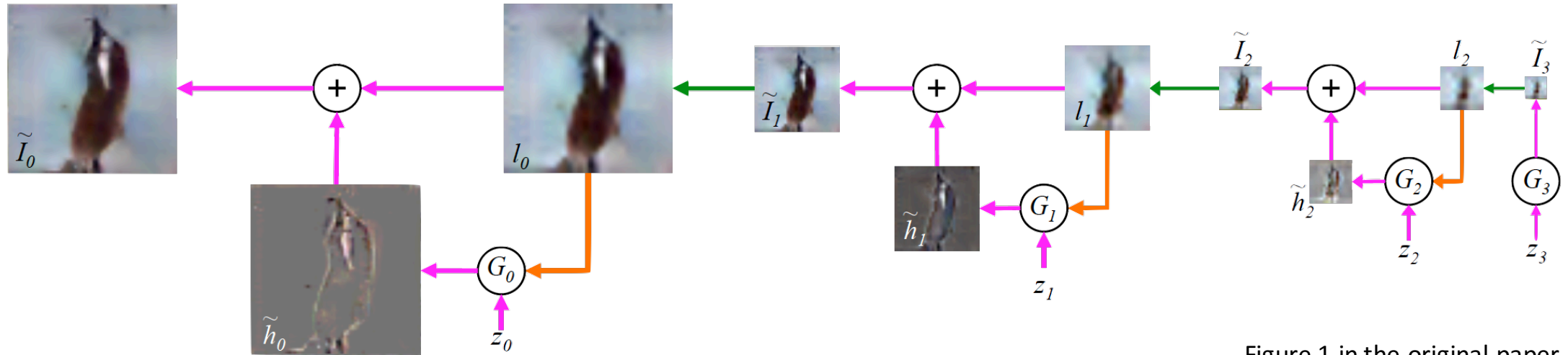


Figure 1 in the original paper.

Image Generation using a LAPGAN

- Generator G_3 generates the base image \tilde{I}_3 from random noise input z_3 .
- Generators (G_2, G_1, G_0) iteratively generate the *difference image* (\hat{h}) **conditioned on previous small image (l)**.
- This *difference image* is added to an **up-scaled version of previous smaller image**.

Laplacian Pyramid of Adversarial Networks

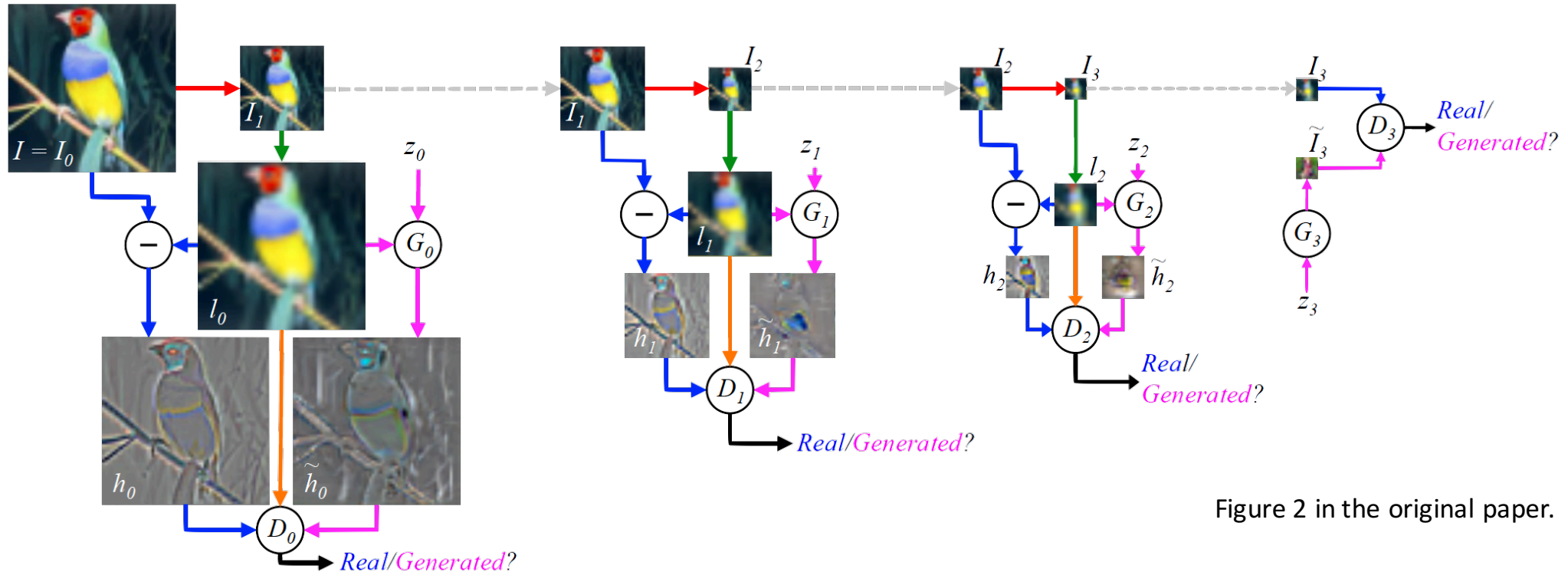


Figure 2 in the original paper.

Training Procedure:

Models at each level are trained independently to learn the required representation.

Adversarially Learned Inference

- Basic idea is to learn an encoder/inference network along with the generator network.
- Consider the following joint distributions over x (image) and z (latent variables) :

$$q(x, z) = q(x) q(z|x) \quad \text{encoder distribution}$$

$$p(x, z) = p(z) p(x|z) \quad \text{generator distribution}$$

Adversarially Learned Inference

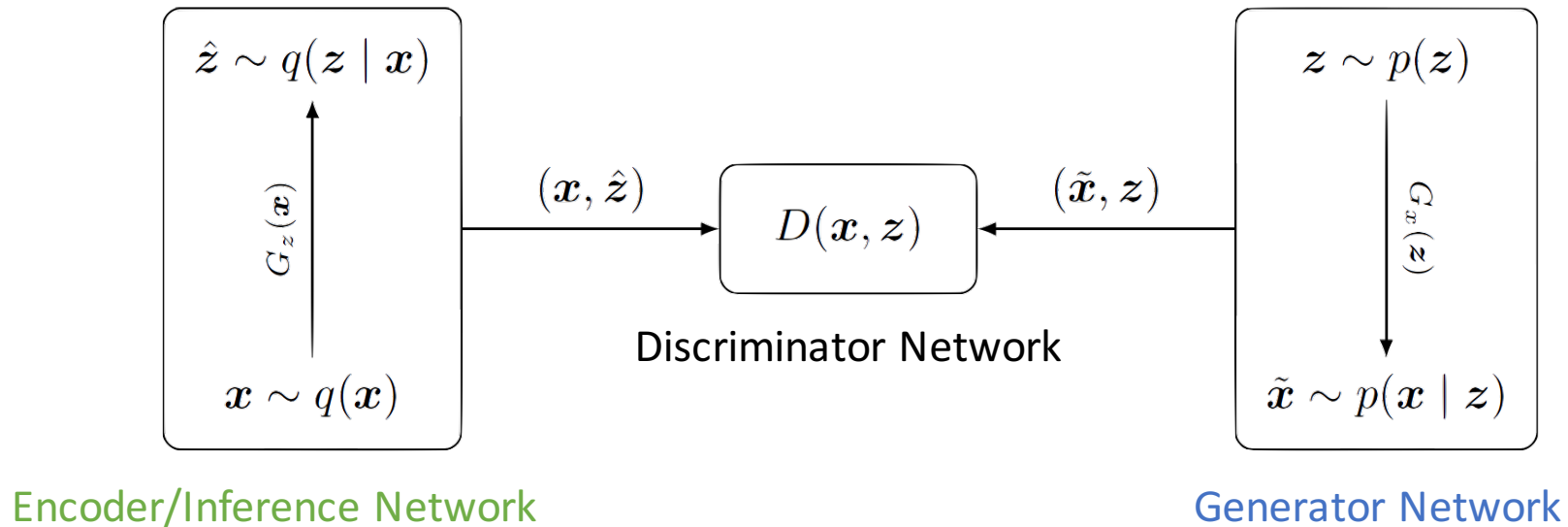


Figure 1 in the original paper.

$$\min_G \max_D \mathbb{E}_{q(x)} [\log(D(x, \underline{G_z(x)}))] + \mathbb{E}_{p(z)} [\log(1 - D(\underline{G_x(z)}, z))]$$

Adversarially Learned Inference

- Nash equilibrium yields
 - **Joint:** $p(x, z) \sim q(x, z)$
 - **Marginals:** $p(x) \sim q(x)$ and $p(z) \sim q(z)$
 - **Conditionals:** $p(x|z) \sim q(x|z)$ and $p(z|x) \sim q(z|x)$
- Inferred latent representation successfully reconstructed the original image.
- Representation was useful in the downstream semi-supervised task.

Summary

- GANs are generative models that are implemented using two stochastic neural network modules: **Generator** and **Discriminator**.
- **Generator** tries to generate samples from random noise as input
- **Discriminator** tries to distinguish the samples from Generator and samples from the real data distribution.
- Both networks are trained adversarially (in tandem) to fool the other component. In this process, both models become better at their respective tasks.

Why use GANs for Generation?

- Can be trained using back-propagation for Neural Network based Generator/Discriminator functions.
- Sharper images can be generated.
- Faster to sample from the model distribution: *single* forward pass generates a *single* sample.

Reading List

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- Radford, A., Metz, L. and Chintala, S., [Unsupervised representation learning with deep convolutional generative adversarial networks](#). arXiv preprint arXiv:1511.06434. (2015).
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- Denton, E.L., Chintala, S. and Fergus, R., 2015. [Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks](#). NIPS (2015)
- Dumoulin, V., Belghazi, I., Poole, B., Lamb, A., Arjovsky, M., Mastropietro, O., & Courville, A. [Adversarially learned inference](#). arXiv preprint arXiv:1606.00704 (2016).

Applications:

- Isola, P., Zhu, J. Y., Zhou, T., & Efros, A. A. [Image-to-image translation with conditional adversarial networks](#). arXiv preprint arXiv:1611.07004. (2016).
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Questions?